

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

H. NEMOTO et al

Serial No. 10/069,732

Group Art Unit 2600

Filed: February 28, 2002

For: METHOD AND DEVICE FOR INFORMATION RECORDING/
REPRODUCING AND INFORMATION RECORDING MEDIUM**INFORMATION DISCLOSURE STATEMENT (IDS)**
UNDER § 1.97 AND § 1.98Commissioner for Patents
Washington, D.C. 20231

Sir:

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MAY 30 2002

Technology Center 2600

1. This IDS should be considered:

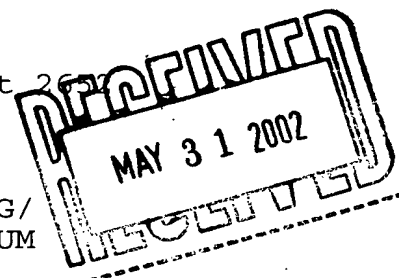
(a) when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;

(b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$180.00 (a check in the amount of \$180.00 is enclosed, or if not see section 5 below);

(c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s) consideration of this IDS, and provided herewith is a fee of \$180.00 (a check in the amount of \$180.00 to cover the petition fee, or if not see section 5 below).

2. When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.

3. Listing of the information submitted is on the attached Form PTO-1449, which forms a part of this IDS. A copy of each listed document is enclosed when needed (needed for U.S. and foreign patents, publication or portion thereof listed; no copy of a U.S. patent application is needed; a copy



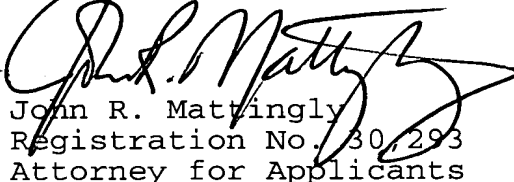
of a document is not needed when previously submitted or previously cited by the PTO in a parent application of the present application as set forth under 35 U.S.C. § 120).

4. If a fee or additional fee is required, the Commissioner is hereby authorized to charge any fee or additional fee that may be required and credit any excess to Deposit Account No. 50-1417. A duplicate of this sheet is attached.

5. No explanation of relevancy is being provided for the documents listed in the attached PTO-1449 Form, because each is either in the English language, discussed in the present Specification, or its relevance is as stated in a communication from a foreign patent office in a counterpart foreign application, copy enclosed.

6. If the PTO determines that part(s) of the required content is inadvertently omitted, then it is requested that the Applicant(s) be given additional time and specific identification of such omission(s) to enable full compliance.

Respectfully submitted,


John R. Mattingly
Registration No. 30,293
Attorney for Applicants

MATTINGLY, STANGER & MALUR
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Alexandria, Virginia 22314
(703) 684-1120
Date: May 28, 2002

FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. ASA-1074	SERIAL NO. 10/069,732			
LIST OF DOCUMENTS CITED BY APPLICANT <i>(Use several sheets if necessary)</i>				APPLICANT H. NEMOTO et al				
				FILING DATE February 28, 2002	GROUP 2652			
<div style="display: flex; justify-content: space-between; align-items: center;"> <div style="text-align: left;"> MAY 28 2002 <small>U.S. PATENT & TRADEMARK OFFICE</small> </div> <div> U.S. PATENT DOCUMENTS </div> </div>								
* EXAMINER INITIAL	DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE <i>(If Appropriate)</i>		
	AA	5,296,995	3/22/94	Yonezawa et al				
	AB							
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
	AK							
<div style="position: relative; height: 100px;"> <div style="position: absolute; top: 0; right: 0; text-align: right;"> RECEIVED MAY 30 2002 Technology Center 2600 </div> </div>								
FOREIGN PATENT DOCUMENTS								
		DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	10-21598	1/23/98	Japan			<input type="checkbox"/>	<input type="checkbox"/>
	AM	2858455	12/4/98	Japan			<input type="checkbox"/>	<input type="checkbox"/>
	AN	7-153126	6/16/95	Japan			<input type="checkbox"/>	<input type="checkbox"/>
	AO	7-169115	7/4/95	Japan			<input type="checkbox"/>	<input type="checkbox"/>
	AP	11-96608	4/9/99	Japan			<input type="checkbox"/>	<input type="checkbox"/>
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, etc.)</i>								
	AR	13-B-03, 13-B-04 in Magneto Optical Recording International Symposium '99 Technical Digest						
	AS							
	AT							
EXAMINER				DATE CONSIDERED				

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. PATENT DOCUMENTS						
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AA						
AB						
AC						
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AE						
AF						
AG						
AH						
AI						
AJ						
AK						
FOREIGN PATENT DOCUMENTS						
	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
AL	4-47512	2/17/92	Japan			<input type="checkbox"/> <input type="checkbox"/>
AM	5-182188	7/23/93	Japan			<input type="checkbox"/> <input type="checkbox"/>
AN	10-134344	5/22/98	Japan			<input type="checkbox"/> <input type="checkbox"/>
AO	11-110748	4/23/99	Japan			<input type="checkbox"/> <input type="checkbox"/>
AP	5-298737	11/12/93	Japan			<input type="checkbox"/> <input type="checkbox"/>
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, etc.)</i>						
	AR					
	AS					
	AT					
EXAMINER			DATE CONSIDERED			
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